

# LAW 7157: Securities Regulation

Prerequisite: Business Organizations (LAW 7110) This course examines the regulation of the sale of securities under the Securities Act of 1933 and the reporting and disclosure obligations of public companies under the Securities Exchange Act of 1934. Topics studied include the registration process for public offerings of securities and the availability of exemptions from registration. In addition, the course will focus on the obligations of public companies to disclose information to the investing public pursuant to the periodic disclosure requirements and the proxy rules. The course will also explore the antifraud provisions of the federal securities laws, including insider trading. This course is highly recommended for students interested in practicing corporate or securities law. Exam Info: Exam administered during exam period.

**Credits:** 4.0